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PTO/SB/81 (02-01)

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	1, ,	
Application Number	09/707,257	1
Filing Date	11/16/2000	
First Named Inventor	Machold, T.	
Title	Method & System for Cont	:ol
Group Art Unit	3764	
Examiner Name	Unassigned	
Attorney Docket Number	RADME-58704	

I hereby appoint:				
X Practitioners at Customer Number				
OR Prac	titioner(s) na	med below:		24201
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Applicant/Inventor.				
X Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).				
SIGNATURE of Applicant or Assignee of Record				
Paul M. Stull, General Counsel/Assistant Secretary Radiant Medical, Inc.				
Signature Faul M. Stad				
Date November 7, 2001				
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.				
☐ *Total offorms are submitted.				

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STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner:MacHold, T. et al.
Application No./Patent No.: 09/707,257 Filed/Issue Date: 11/16/2000
Entitled: METHOD AND SYSTEM FOR CONTROL OF A PATIENT'S BODY TEMPERATURE BY WAY OF
RADIENT MEDICAL, INC,a_ California corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
\cdot
states that it is:
1. 🖵 the assignee of the entire right, title, and interest; or
 an assignee of less than the entire right, title and interest. The extent (by, percentage) of its ownership interest is%
in the patent application/patent identified above by virtue of either:
A. [X] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
OR
B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:
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[] Additional documents in the chain of title are listed on a supplemental sheet.
[] Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
November 7, 2001 Paul M. Stull Typed or printed pame 2
Date Typed or printed name
Signature

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Title

DECLARATION OF INVENTORSHIP and LIMITED POWER OF ATTORNEY

As a below named inventor, I believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the invention entitled "Method and System for Control of a Patient's Body Temperature by way of a Transluminally Insertable Heat Exchange Catheter," which is described and claimed in Application No.(to be determined) filed herewith, or to be filed and for which a patent is sought. My residence, post office address and citizenship are as stated below next to my name.

I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to herein.

I acknowledge my duty under Title 37, Code of Federal Regulations § 1.56(a) to disclose information which is material to the patentability of the invention I am claiming.

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application: 60/185,561, filed February 28, 2000, now pending; application 09/563,946, filed May 2, 2000, now pending; and application 60/219,922 filed July 21, 2000, now pending.

As a named inventor and until I assign my rights to the invention, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith on my behalf: Frank J. Uxa, Reg. No. 25,612; Donald E. Stout, Reg. No. 34,493; Robert D. Buyan, Reg. No. 32,460; Kenton R. Mullins, Reg. No. 36,331; Guy L. Cumberbatch, Reg. No. 36,114; Jo Anne M. Ybaben, Reg. No. 42,243; and Philip H. Haymond, Reg. No.38,177, all of the firm STOUT, UXA, BUYAN & MULLINS, LLP,. Send correspondence and direct telephone calls to: Robert D. Buyan, Stout, Uxa, Buyan & Mullins, LLP, 4 Venture, Suite 300, Irvine, CA 92618; telephone (949)n 450-1750, facsimile (949) 450-1764, email: rbuyan@patlawyers.com.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that there statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application and any patent issuing thereon.



Timothy R. Machold of Moss Beach, California, a citizen of United States. Post office address:65 Bernal Ave., Moss Beach, CA 94038
Signature: W/19/60
Wade A. Keller of San Jose, California, a citizen of United States. Post office address: 6183 Dunn Avenue, San Jose, CA \$3123 Signature: Date: 10 17 2000
Alex T. Roth, of Redwood City, California, a citizen of United States. Post office address: 1354 Regent Street Redwood City, CA 94016 94061 Signature: Date: 10 1700
Nicole Denise Bloom, of SanFrancisco, California, a citizen of United States. Post office address: 924 Guerrero Street #11, San Francisco, CA 94110 Signature: Date: 10 18/60



FEBRUARY 01, 2002

ROBERT D. BUYAN 4 VENTURE, SUITE 300 IRVINE, CA 92618

Under Secretary of Commerce For Intellectual Property and Director of the United States Patent and Trademark Office Washington, DC 20231

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PTAS STOUT, UXA, BUYAN & MULLINS, LLP



'101954420A*

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/06/2000

REEL/FRAME: 012353/0719

NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MACHOLD, TIMOTHY R.

DOC DATE: 10/19/2000

ASSIGNOR:

KELLER, WADE A.

DOC DATE: 10/17/2000

ASSIGNOR:

ROTH, ALEX T.

DOC DATE: 10/17/2000

ASSIGNOR:

BLOOM, NICOLE DENISE

DOC DATE: 10/18/2000

ASSIGNEE:

RADIANT MEDICAL, INC. 250 CHESAPEAKE DR. REDWOOD CITY, CALIFORNIA 94063

SERIAL NUMBER: 09707257

FILING DATE: 11/06/2000

ISSUE DATE:

PATENT NUMBER:



Express Mail Label No. EV 327058784 US

012353/0719 PAGE 2

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02-01-2002

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11-6-60	I IVII II III IIII IIII IIII IIII IIII	Patent and Tradema RO
	101954420	
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1. Name of conveying party(ies):	2. Name and address of receiving	ng party(ies):
Timothy R. Machold, Wade A. Keller, Alex T. Roth, Nicole Denise Bloom	Radiant Medical, Inc. 250 Chesapeake Dr. Redwood City, CA 94063	
Additional name(s) of conveying party(ies) attached	A California corporation.	
3. Nature of conveyance:		
Assignment D Merger		
□ Security Agreement □ Change of	Name	
Other		
Execution Date: 10/17/00, 10/18/00 and 10/1	9700	
4. Application number(s) or patent number(s):		·
If this document is being filed together with a new	v application, the filing date of the application is: 10/17	/00, 10/18/00 and 10/19/00
A. Patent Application No.(s):	B. Patent No.(s):	
[Application No.]		
	I Additional numbers attached? □ Yes ⊠ No	
Name and address of party to whom corresponde document should be mailed:	ence concerning 6. Total number of applications	and patents involved: 1
Robert D. Buyan Stout, Uxa, Buyan & Mullins, LLP 4 Venture, Suite 300	7. Total Fee (37 CFR 3.41)	\$40
Irvine, CA 92618	⊠ Enclosed (Check No. [Check No. Check No. C	k No.])
./09/2000 AZERGAN1 00000029 09707257	■ Authorized to be charged to	deposit account if fee is insufficient.
FC:581 40.00 OP	8. Deposit account number: 50	-0878
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	(Attach duplicate copy of the	nis page if paying by deposit account.)
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9. Statement and signature.		Attorney Docket No. RADNT-017B
To the best of my knowledge and belief, the foregoin	g is true and correct and any attached copy is a true copy	of the original document.
ROBERT D. BUYAN	Tam Day	November 6, 2000
Name of Person Signing	Signature	Date
	Total number of pages including cover sheet, attac	hments and document: 7 (incl cover sheet)]

ASSIGNMENT

Name(s) of Inventor(s): Timothy R. Machold, Wade A. Keller, Alex T. Roth and

Nicole Denise Bloom

Name of Assignee: RADIANT MEDICAL, INC. State of Incorporation of Assignee: California

Address of Assignee: 250 Chesapeake Drive, Redwood City, CA 94063

Title of Application: METHOD AND SYSTEM FOR CONTROL OF A PATIENT'S BODY

TEMPERATURE BY WAY OF A TRANSLUMINALLY INSERTABLE HEAT

EXCHANGE CATHETER

Application No.: Unknown Date of Filing of Application: Herewith

- 1. In consideration of the sum of \$1.00 and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned agree(s) to sell, assign and transfer and does (do) hereby sell, assign and transfer to the above-named Assignee, its successors and assigns, the entire and exclusive right, title and interest in and to the inventions claimed and described in the above-identified patent application in the United States and throughout the world, and in and to any applications for patent and patents which may be granted and issued thereon in any and all countries, and in and to any continuations, divisions, reissues and extensions of said application(s) and patent(s) and all international priority rights associated therewith. The undersigned agree(s) to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.
- 2. The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.
- 3. The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.
- 4. The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.
- 5. The undersigned hereby represent(s) and warrant(s) that no other assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.
- 6. The undersigned hereby authorize and request the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the Assignee, as Assignee of the entire interest, and hereby

covenant that they have full right to convey the entire interest herein assigned, and that they have not executed any agreement in conflict herewith.

7. The undersigned hereby grant **Donald E. Stout**, Reg. No. 34,493; **Frank J. Uxa**, Reg. No. 25,612: **Robert D. Buyan**, Reg. No. 32,460; **Kenton R. Mullins**, Reg. No 36,331; **Guy L. Cumberbatch**, Reg. No. 36,114; **Philip H. Haymond**, Reg No. 38,177, **Jo Anne M. Ybaben**, Reg No. 42,243 the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Signature of Inventor: Limited Machold Timothy R. Machold	Date: (6/19/00
Signature of Inventor: Wade A. Keller	Date: <u>\(\lambda\)</u> (17 \(\lambda\)
Signature of Inventor: Alex T. Roth	Date: 10 (17 00
Signature of Inventor: Nicole Denise Bloom	Date: 16 [18 100

ALL-PURPOSE NOTARIAL ACKNOWLEDGMENT

County of San Makeo On Catolory 20, 2000 before me, Kathleen M. Massa, Notary Rublic, (Date) Personally appeared Nicole Denise Bloom Depersonally known to me OR - Droved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. WITNESS my hand and official seal. Notary Public - California San Mateo County My Corner Explosion And 2002 WITNESS my hand and official seal. WITNESS my hand and official seal. WITNESS my hand official seal. DESCRIPTION OF ATTACHED DOCUMENT Assignment TITLE OR TYPE OF DOCUMENT Invention/Patent Assignment NUMBER OF PAGES DATE OF DOCUMENT Invention/Patent Assignment NUMBER OF PAGES DATE OF DOCUMENT SIGNER (OTHER THAN NAMED ABOVE) DESCRIPTION OF ATTACHED DOCUMENT ASSIGNMENT TITLE OR TYPE OF DOCUMENT Invention/Patent Assignment NUMBER OF PAGES DATE OF DOCUMENT SIGNER (OTHER THAN NAMED ABOVE) DESCRIPTION OF ATTACHED DOCUMENT ASSIGNMENT SIGNER (OTHER THAN NAMED ABOVE) DESCRIPTION OF ATTACHED DOCUMENT ATTORNEY-IN-FACT TRUSTEE GUARDIAN/CONSERVATOR OTHER:	State of <u>California</u>	
On Catabar 30, 2000 before me, Kathleen M. Massa, Notary Public, (Name, Title of Officer) personally appeared Nicole Denise Bloom Porved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. KAPHLENM MASSA Commission # 1186103 Notary Public - Coffordia San Macteo County My Comm. Expires June, 2002 WITNESS my hand and official seal. CAPACITY CLAIMED BY SIGNER DESCRIPTION OF ATTACHED DOCUMENT Assignment	County of <u>San Mateo</u>	
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subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. KAHLEEN M. MASSA	- · · · · · · · · · · · · · · · · · · ·	
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ALL-PURPOSE NOTARIAL ACKNOWLEDGMENT State of <u>California</u> County of San Mateo On October 20, 2000 before me, Kathleen M. Massa, Notary Public, (Date) (Name, Title of Officer) personally appeared Alex T. Roth Dersonally known to me proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. KATHLEEN M. MASSA WITNESS my hand and official seal. Commission # 1186103 lotary Public - California San Mateo County My Comm. Expires Jun 6, 200 athleen M. Masso Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form. DESCRIPTION OF ATTACHED DOCUMENT CAPACITY CLAIMED BY SIGNER Assignment TITLE OR TYPE OF DOCUMENT ☑ INDIVIDUAL Invention/Patent Assignment ☐ CORPORATE OFFICER NUMBER OF PAGES DATE OF DOCUMENT (TITLE) □ PARTNERSHIP SIGNER (OTHER THAN NAMED ABOVE) ☐ LIMITED ☐ GENERAL ☐ ATTORNEY-IN-FACT ☐ TRUSTEE ☐ GUARDIAN/CONSERVATOR ☐ OTHER: SIGNER IS REPRESENTING:





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(Date) (Name, Title of Officer) personally appeared Wade A. Keller personally known to me - OR proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. KATHLEEN M. MASSA WITNESS my hand and official seal. Commission # 1186103 Notary Public - California San Mateo County My Comm. Expires Jun 6, 2002 Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form. DESCRIPTION OF ATTACHED DOCUMENT CAPACITY CLAIMED BY SIGNER Assignment TITLE OR TYPE OF DOCUMENT ☑ INDIVIDUAL Invention/Patent Assignment ☐ CORPORATE OFFICER NUMBER OF PAGES DATE OF DOCUMENT (TITLE) ☐ PARTNERSHIP SIGNER (OTHER THAN NAMED ABOVE) ☐ LIMITED ☐ GENERAL ☐ ATTORNEY-IN-FACT ☐ TRUSTEE ☐ GUARDIAN/CONSERVATOR ☐ OTHER: SIGNER IS REPRESENTING:

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State of <u>California</u>	* \ '
County of San Mateo	
On October 20, 2000 before me, (Date)	Kathleen M. Massa, Notary Public (Name, Title of Officer)
personally appeared Timothy R. Mac	chold
subscribed to the within instrument an same in his/her authorized capacity, ar	ctory evidence to be the person whose name is d acknowledged to me that he/she executed the ad that by his/her signature on the instrument the nich the person acted, executed the instrument.
KATHLEEN M. MASSA Commission # 1186103 Notary Public - California	WITNESS my hand and official seal.
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SIGNER IS REPRESENTING:	
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